



**Shri Vaishnav Vidyapeeth Vishwavidyalaya, Indore**  
**Shri Vaishnav School of Management**  
**Choice Based Credit System (CBCS) in Light of NEP-2020**

**GENERIC ELECTIVE (ODD SEMESTER)**

**GUMGT103 FUNDAMENTALS OF INVESTMENT**

| COURSE CODE | CATEGORY | COURSE NAME                | TEACHING & EVALUATION SCHEME |               |                      |                         |                      |   |   |   |         |
|-------------|----------|----------------------------|------------------------------|---------------|----------------------|-------------------------|----------------------|---|---|---|---------|
|             |          |                            | THEORY                       |               |                      | PRACTICAL               |                      | L | T | P | CREDITS |
|             |          |                            | END SEM University Exam      | Two Term Exam | Teachers Assessment* | END SEM University Exam | Teachers Assessment* |   |   |   |         |
| GUMGT103    | GE       | Fundamentals of Investment | 60                           | 20            | 20                   | -                       | -                    | 4 | - | - | 4       |

**Legends:** L - Lecture; T - Tutorial/Teacher Guided Student Activity; P – Practical; C - Credit; GE – Generic Elective

\***Teacher Assessment** shall be based on following components: Quiz/Assignment/ Project/Participation in Class, given that no component shall exceed more than 10 marks.

### Course Objectives

The objective of the course is to understand how to evaluate different investment products, their suitability, and how the same can impact investment risks, returns and strategies in a personal finance environment for prospective investors in the market.

### Examination Scheme

The internal assessment of the students' performance will be done out of 40 Marks. The semester Examination will be worth 60 Marks. The question paper and semester exam will consist of two sections A and B. Section A will carry 36 Marks and consist of five questions, out of which student will be required to attempt any three questions. Section B will comprise of one or more cases / problems worth 24 marks.

### Course Outcomes

1. Students should be able to calculate various risks associated with the investments.
2. Equip students with investment options and their consequences in the financial market.
3. Students should be able to understand the Investor's protection schemes and redressal system.

## **COURSE CONTENT**

### **UNIT I: Introduction to Indian Financial Market**

1. Structure of Indian Financial Market
2. Role and functions of Capital Market
3. Understand the nature and functions of Primary Market
4. Understand Secondary Market
5. Money market characteristics and functions

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**UNIT II: Investment Products**

1. Understand the various types of fixed income / debt instruments
2. Know the concept of stock market investment
3. Mutual Funds
4. Investment in precious metals such as Gold, Silver and Commodities
5. Art, Real estate, and Bitcoin.

**UNIT III: Investment Opportunities**

1. Asset Classes as Investment Opportunities
2. Hedge funds, AIF
3. Commercial Paper
4. Certificate of Deposits
5. Treasury Bills

**UNIT IV: Managing Investment Risk**

1. Understand the concept of risk and return
2. Calculation of Expected return
3. Systematic and Unsystematic risk

**UNIT V: Investor Protection**

1. Role of SEBI and stock exchanges in investor protection
2. Investor grievances
3. Insider trading

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**Suggested Readings**

1. Dhankhar, J. N. (2009). *Indian Capital Market in Operation*. New Delhi: Skylark Publications.
2. Chandra, P. (2009). *Investment Analysis*. New Delhi: Tata McGraw Hill.
3. Choudhry, M. (2002). *Capital Market Instruments*. London: Prentice Hall.
4. Madhusoodanan, E.D. (2008). *Indian Capital Markets*. Delhi: Quest Publications.
5. Gurusamy, (2009). *Capital Markets*. New Delhi: Tata McGraw Hill.
6. Bhole, L.M. (2001). *Financial Markets and Institutions*. New Delhi: Tata McGraw Hill.
7. Srivastava, R.M. (2007). *Management of Indian Financial Institutions*. Mumbai: Himalaya Publishing House.

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